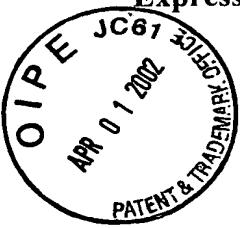


Express Mail No.: EL 752243347 US



60709-00012
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barton et al.
Serial No.: 09/848,051
Filed: May 3, 2001
For: METHODS AND SYSTEMS
FOR COMPLIANCE
PROGRAM ASSESSMENT

:
Group No.: 2152
Examiner:

RECEIVED
APR 04 2002
Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is a:
 - Preliminary Amendment
 - Submission Of Marked Up Paragraphs
 - Request for Approval of Drawing Changes
 - Transmittal of Formal Drawings
 - Certificate of Mailing By Express Mail
 - Return Post Card

STATUS

2. Applicant

Claims small entity status.
 Is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a) Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
first month	\$ 110.00	\$ 55.00
second month	\$ 400.00	\$ 200.00
Third month	\$ 920.00	\$ 460.00
Fourth month	\$1,440.00	\$ 720.00
fifth month	\$1,960.00	\$ 980.00

Fee: _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

An extension of _____ months has already been secured. The fee paid therefor \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____.

OR

(b) Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

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FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY		OTHER THAN SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		ADDITIONAL RATE FEE		ADDITIONAL RATE FEE
TOTAL	118	MINUS	118	=0	x \$9 =	\$	OR	x \$18 = \$0
INDEP.	8	MINUS	8	=0	x \$42 =	\$	OR	x \$84 = \$0
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 =	\$	OR	+ \$280 = \$
					TOTAL ADDITIONAL FEE \$		OR	TOTAL ADDITIONAL FEE \$0

(a) No additional fee for Claims is required

OR

(b) Total additional fee for claims required \$

FEE PAYMENT

5. Attached is a check in the sum of \$_____

Charge Deposit Account No. 01-2384 the sum of \$0.00.
A duplicate of this transmittal is attached.

FEE DEFICIENCY

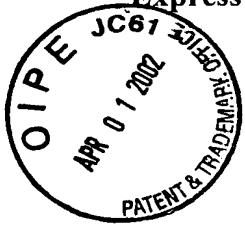
6. If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

AND/OR

If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. Other:


John S. Beulick
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St. Louis, MO 63102
314/621-5070



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: Examiner:

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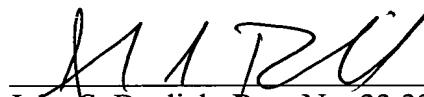
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TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

Enclosed are twenty-seven (27) sheets of formal drawings for the above-identified patent application.

Respectfully submitted,


John S. Beulick, Reg. No. 33,338
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